

AuditOps

VComply's Audit & Assurance Module streamlines and enhances audit and assurance activities within an organization with its comprehensive features and intuitive interface.

Manage Audit Plans

[APID-20100251] Financial Audit

#	DUE DATE	ACTION DATE	STATUS	EFFECTIVENESS	OPINION	WORKPAPERS
817A	10 May 2023 by 4:59 PM	9 May 2023 at 2:05 pm	COMPLETED	EFFECTIVE	UNQUALIFIED	DOC +2

VIEW AUDIT EXECUTION SUMMARY | LOG AN ISSUE | REDO | REJECT

RESPONSIBILITIES IN SCOPE:	CONTROL EFFECTIVENESS	DESIGN EFFECTIVENESS
10	EFFECTIVE 8 INEFFECTIVE 2	EFFECTIVE 8 INEFFECTIVE 2

RISKS IN SCOPE:	PRE-AUDIT RISK RATING	POST-AUDIT RISK RATING
10	INHERENT LOW 2 RESIDUAL NEGLIGIBLE 1	INHERENT LOW 1 RESIDUAL NEGLIGIBLE 1

Control Effectiveness

	EFFECTIVE	NOT EFFECTIVE	ISSUES
	82	12	20
AUDIT CATEGORY(S)	EFFECTIVE	NOT EFFECTIVE	ISSUES OPEN
System Audit	80%	20%	2
Financial Audit	58%	42%	7
Human Resource Training	100%	0%	5

Files & Folders

- Timeline
- Attachments
- Folder
- Regulatory Monitoring Checklist.xlsx
- Customer Identification review doc.pdf
- Documents



Customizable frameworks

Customize Compliance Assurance Programme to meet regulations, industry standards & best practices, tailored for your organization's needs.



Streamlined workflows

Create audit plans, define objectives & methodologies, assign resources, and schedule tests for completing assurance activities with confidence.



Evidence collection

A centralized repository for storing & organizing evidence and supporting documentation related to assurance activities demonstrate compliance.



Reports & dashboards

Real-time insights, customizable reporting, & interactive dashboard for informed decision-making & streamlined reporting.